

08-06-01

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,365	01/22/2001	Sheng Liang	22271-05227

758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306



CONFIRMATION NO. 2538

FORMALITIES LETTER



OC000000006335892

Date Mailed: 07/25/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may,however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

T02080"5949460



IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): Sheng Liang *et al.*

APPLICATION NO.: 09/767,365

FILING DATE: January 22, 2001

TITLE: SCALABLE DERIVATIVE SERVICES

EXAMINER: Unknown

GROUP ART UNIT: 2151

ATTY. DKT. NO.: 22271-05227

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10 in an envelope addressed to: Box Provisional Patent Application, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. EXPRESS MAIL NO: EL541496322US.

Dated: 2 August 2001.

By:

Daniel R. Brownstone, Reg. No.: 46,581

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

SIR:

Responsive to Notice to File Missing Parts dated March 2, 2001 and the Notice of Incomplete Reply received in the above-identified patent application,

☒ Enclosed are:

- ☒ Copy of the Notice of Incomplete Reply;
- ☒ Eight (8) sheets substitute drawings (Figs 1- 8);
- ☒ Payment in the amount of \$250.00 for the additional extension of time fee;
- ☒ Copy of the original request for a two month extension of time to respond to the Notice of Missing Parts and payment of fee.

08/08/2001 BABRAH1 00000069 09767365

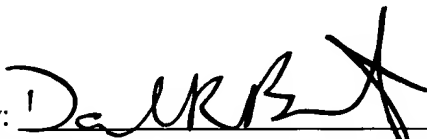
01 FC:217

250.00 OP

☒ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
SHENG LIANG, HONG ZHANG, ABHISHEK
CHAUHAN, RAJIV MIRANI AND OLIVER
CHANG

Dated: 2 Aug 2001

By: 
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22271/05227/SF/5055229.1

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EXPRESS MAIL NO: EL541496322US



PTO/SB/17 (10-00)(modified)
Approved for use through 09/29/01, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2000	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
FEE TRANSMITTAL		Application Number	09/767,365
		Filing Date	January 22, 2001
		First Named Inventor	Sheng Liang et al.
		Group Art Unit	2151
		Examiner Name	Unknown
TOTAL AMOUNT OF PAYMENT		Attorney Docket Number	22271-05227
Subtotal (1) + Subtotal (2) + Subtotal (3) =		(\$) 250.00	

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																											
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month[†]</td> <td></td> </tr> <tr> <td>116/\$390</td> <td>216/\$195</td> <td>Extension for response within second month[†]</td> <td></td> </tr> <tr> <td>117/\$890</td> <td>217/\$445</td> <td>Extension for response within third month[†]</td> <td></td> </tr> <tr> <td>118/\$1,390</td> <td>218/\$695</td> <td>Extension for response within fourth month[†]</td> <td></td> </tr> <tr> <td>128/\$1,890</td> <td>228/\$945</td> <td>Extension for response within fifth month[†]</td> <td></td> </tr> <tr> <td>119/\$310</td> <td>219/\$155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>141/\$1,240</td> <td>241/\$620</td> <td>Petition to revive unintentionally abandoned application</td> <td></td> </tr> <tr> <td>142/\$1,240</td> <td>242/\$620</td> <td>Utility Issue Fee (Or Reissue)</td> <td></td> </tr> <tr> <td>143/\$440</td> <td>243/\$220</td> <td>Design Issue Fee</td> <td></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>179/\$710</td> <td>279/\$355</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146/\$710</td> <td>246/\$355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149/\$710</td> <td>249/\$355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="2">Other fee (specify):</td> <td>Additional extension fee for Response to Notice of Incomplete Reply.</td> <td>250</td> </tr> <tr> <td colspan="2">Other fee (specify):</td> <td></td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (3)</td> <td>(\$)250.</td> </tr> </tbody> </table>				Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet		147/\$2,520	147/\$2,520	For filing a request for reexamination		115/\$110	215/\$55	Extension for response within first month [†]		116/\$390	216/\$195	Extension for response within second month [†]		117/\$890	217/\$445	Extension for response within third month [†]		118/\$1,390	218/\$695	Extension for response within fourth month [†]		128/\$1,890	228/\$945	Extension for response within fifth month [†]		119/\$310	219/\$155	Notice of Appeal		141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application		142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)		143/\$440	243/\$220	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		126/\$180	126/\$180	Submission of Information Disclosure Statement		179/\$710	279/\$355	Request for Continued Examination (RCE)		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)		146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):		Additional extension fee for Response to Notice of Incomplete Reply.	250	Other fee (specify):				SUBTOTAL (3)			(\$)250.
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* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Daniel R. Brownstone	Reg. Number	46,581
Signature		Date	2 Aug 2001

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby